

## (Only for provisional applications under 37 CFR 1.53(b))

12/06/01

U.S. PAT.

519

*See MPEP chapter 600 concerning utility patent application contents*

1c927 U. 2

1. ■ **Fee Transmittal Form (e.g. PTO/SB/17)**  
(Submit an original and a duplicate for fee processing)
2. ■ **Specification** Total Sheets 30
3. ■ **Drawing(s) *Formal*** Total Sheets 15
4. ■ **Oath or Declaration** Total Pages 4
- a. ■ **Newly executed (original or copy)**
- b. □ **Copy from a prior application (37 C.F.R. §1.63(d))**  
(for continuation / divisional w/ box 16 completed)
- i. □ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in  
the prior application, see 37 C.F.R. §1.63(d)(2) and  
1.33(b).
5. □ **CD-ROM or CD-R in duplicate, large table or Computer  
Program (*Appendix*)**
6. □ **Nucleotide and/or Amino Acid Sequence Submission**  
(if applicable, all necessary)
- a. □ **Computer Readable Form (CRF)**
- b. **Specification or Sequence Listing on:**
- i. □ **CD-ROM or CD-R (2 copies); or**
- ii. □ **Paper**
- c. □ **Statements verifying identity of above copies**

7. ☒ Assignment Papers (cover sheet & document(s)) (3)
8. ☒ Application Data Sheet. See 37 CFR 1.76
9. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney  
*(here is an assignee)*
10. ☐ English Translation Document *(if applicable)*
11. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations (2)
12. ☐ Preliminary Amendment
13. ☒ White Advance Serial No. Postcard
14. ☐ Certified Copy of Priority Document(s) ( )  
*(if foreign priority is claimed)*
15. ☐ Applicant claims small entity status.  
*See 37 CFR 1.27*
16. ☒ Other: Statement of Relevancy

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☐ Continuation      ☐ Divisional      ☐ Continuation-in-part (CIP)      of prior application no.:

*Prior application information:*      Examiner:

**Group Art Unit:**

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. Amend the specification by inserting before the first line the sentence:

- ☐ This application is a ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP)  
of application Serial No. Filed on
- ☐ Which was published in English
- ☐ Which was not published in English
- ☐ This application claims priority of provisional application Serial No. Filed


## 19. CORRESPONDENCE ADDRESS



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Docket No. 216109US2X

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Shinji KONDO ET AL

SERIAL NO: New Application

FILING DATE: Herewith

FOR: PULSE WAVE MEASURING APPARATUS AND PULSE...

FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	36 - 20 =	16	× \$18 =	\$288.00
INDEPENDENT CLAIMS	4 - 3 =	1	× \$84 =	\$84.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$280 =	\$0.00
<input type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$0.00
BASIC FEE				\$740.00
TOTAL OF ABOVE CALCULATIONS				\$1,112.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
<input checked="" type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$40.00
TOTAL				\$1,152.00

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A duplicate copy of this sheet is enclosed.

A check in the amount of **\$1,152.00** to cover the filing fee is enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030.

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Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Date: \_\_\_\_\_

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10/004431  
12/06/01

STATEMENT OF RELEVANCY

Our Ref. No.

TFN010170-US

Client No.

Japanese Patent Publication	File Date	Publication Date	Comments
Other Document	File Date	Publication Date	Comments
DESIGN AND EVALUATION OF ARTIFACT-RESISTANT FINGER-RING PLETHYSMOGRAPHIC SENSORS		2000/11/5	A double-layer structure is adopted so as to block external disturbance.
DEVELOPMENT OF HEALTH CARE SYSTEM USING RING SENSOR		1999/10/28	Light is delivered to a skin. On the basis of light reflected from the skin, pulse waves and the oxygen saturation ratio are detected.